SEC Form 4

FORM 4

| UNITED STATES SECURITIES AND EXCHANGE COMMISSION |
|--|
| Mashington D.C. 20540 |

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number: | 3235-0287 | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|
| Estimated average burden | | | | | | | |
| hours per response: | 0.5 | | | | | | |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] Doll Dennis W | | | 2. Issuer Name and Ticker or Trading Symbol <u>MIDDLESEX WATER CO</u> [MSEX] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner | | | | |
|--|---------|----------|--|--|-----------------------------------|-----------------------|--|--|
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/28/2024 | | Officer (give title below) | Other (specify below) | | |
| 485C ROUTE 1 SOUTH SUITE 400 | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | |
| (Street) ISELIN | NJ | 08830 | | | Form filed by More than Person | One Reporting | | |
| (City) | (State) | (Zip) | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. | | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | |

2A. Deemed Execution Date, if any 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Ownership Form: Direct 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 7. Nature Transaction Code (Instr. Date Securities Beneficially of Indirect Beneficial (Month/Dav/Year) (D) or Indirect (Month/Day/Year) 8) Owned Following Ownership (Instr. 4) (I) (Instr. 4) Reported (A) or (D) Transaction(s) (Instr. 3 and 4) Code ۷ Amount Price Common Stock (Restricted Stock Book 02/28/2024 \$51.11 28,746(1) D 0 D J Entry) Common Stock (Book Entry) 02/28/2024 F 14,342(2) \$51.11 18,961 D Α Common Stock (DRP Certificate Form) 300 D Common Stock (Shares held in street **D**⁽³⁾ 56,179 name)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | 5. Number of Derivative Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) Securities (Month/Day/Year) | | ate | Amount of Securities | | nount of Derivative derivative securities (Instr. 5) Derivative orivative curity (Instr. 5) Derivative Following | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
|---|---|--|---|------------------------------|---|---|-----|---------------------|-------------------------|-------|--|--|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |

Explanation of Responses:

1. Represents the number of shares of Restricted Stock originally awarded that have now vested.

2. Represents the number of shares released to the awardee after choosing to surrender shares back to Issuer to satisfy the tax withholding obligations that arose in connection with the vesting of the Restricted Stock.

3. Joint Account with Spouse Barbara A. Doll.

| <u>s/Jay L. F</u> | Kooper, Pov | ver of |
|-------------------|-------------|---------|
| <u>Attorney</u> | for Dennis | W. Doll |

N. Doll

03/04/2024

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.